



PTO/SB/08 (07/05)

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/771,788
				Filing Date	February 4, 2004
				First Named Inventor	Frederick Espiau
				Art Unit	2828
				Examiner Name	Vu, David Hung
Sheet	1	Of	8	Attorney Docket Number	PLASLAMP-03

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
2	1.	US- 2001/0030509 A1	10-18-2001	Pothoven, et al	
	2.	US- 2001/0035720 A1	11-01-2001	Guthrie, et al.	
	3.	US- 2002/0105274 A1	08-08-2002	Pothoven, et al.	
	4.	US- 2005/0057158 A1	03-17-2005	Yian Chang, et al.	
	5.	US- 2005/0099130 A1	05-12-2005	Espiau, et al.	
	6.	US- 2005/0212456 A1	09-29-2005	Espiau, et al.	
	7.	US- 2005/0248281 A1	11-10-2005	Espiau, et al.	
	8.	US- 3,641,389	02-08-1972	Leidigh, William J.	
	9.	US- 3,787,705	01-22-1974	Bolin, et al.	
	10.	US- 3,826,950	07-30-1974	Hruda, et al.	
	11.	US- 3,860,854	01-14-1975	Hollister, Donald D.	
	12.	US- 3,942,058	03-02-1976	Haugsjaa, et al.	
	13.	US- 3,943,401	03-09-1976	Haugsjaa, et al.	
	14.	US- 3,993,927	11-23-1976	Haugsjaa, et al.	
	15.	US- 4,001,631	01-04-1977	McNeill, et al.	
	16.	US- 4,041,352	08-09-1977	McNeill, et al.	
	17.	US- 4,053,814	10-11-1977	Regan, et al.	
	18.	US- 4,206,387	06-03-1980	Kramer, et al.	
	19.	US- 4,359,668	11-16-1982	Ury, Michael G.	
	20.	US- 4,485,332	11-27-1984	Ury, et al.	
	21.	US- 4,498,029	02-05-1985	Yoshizawa, et al.	
	22.	US- 4,504,768	03-12-1985	Ury, et al.	
	23.	US- 4,507,587	03-26-1985	Wood, et al.	
	24.	US- 4,507,597	03-26-1985	Trost, David	
2	25.	US- 4,607,242	08-19-1986	Cozzie, James C.	

Examiner Signature	David Vu	Date Considered	6/6/06
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Examiner Name	Vu, David Hung					
Sheet	2	Of	8	Attorney Docket Number	PLASLAMP-03	

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DU	26.	US- 4,633,128	12-30-1986	Roberts, et al.	
↑	27.	US- 4,633,140	12-30-1986	Lynch, et al.	
	28.	US- 4,652,790	03-24-1987	Wood, Charles H.	
	29.	US- 4,673,846	06-16-1987	Yoshizawa, et al.	
	30.	US- 4,691,179	09-01-1987	Blum, et al.	
	31.	US- 4,749,915	06-07-1988	Lynch, et al.	
	32.	US- 4,887,192	12-12-1989	Simpson, et al.	
	33.	US- 4,902,935	02-20-1990	Wood, Charles H.	
	34.	US- 4,950,059	08-21-1990	Roberts, Victor D.	
	35.	US- 4,954,755	09-04-1990	Lynch, et al.	
	36.	US- 4,975,625	12-04-1990	Lynch, et al.	
	37.	US- 4,978,891	12-18-1990	Ury, Michael G.	
	38.	US- 5,021,704	06-04-1991	Walker, et al.	
	39.	US- 5,039,903	08-13-1991	Farrall, George A.	
	40.	US- 5,051,663	09-24-1991	Ury, et al.	
	41.	US- 5,070,277	12-03-1991	Lapatovich, Walter P.	
	42.	US- 5,072,157	12-10-1991	Greb, et al.	
	43.	US- 5,077,822	12-31-1991	Cremer, Cornelius	
	44.	US- 5,086,258	02-04-1992	Mucklejohn, et al.	
	45.	US- 5,227,698	07-13-1993	Simpson, et al.	
	46.	US- 5,334,913	08-02-1994	Ury, et al.	
	47.	US- 5,361,274	11-01-1994	Simpson, et al.	
	48.	US- 5,404,076	04-04-1995	Dolan, et al.	
✓	49.	US- 5,438,242	08-01-1995	Simpson, James E.	
DU	50.	US- 5,448,135	09-05-1995	Simpson, James E.	

Examiner Signature	David Vu	Date Considered	6/6/06
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D	51.	US- 5,498,937	03-12-1996	Korber, et al.	
	52.	US- 5,525,865	06-11-1996	Simpson, James E.	
	53.	US- 5,541,475	07-30-1996	Wood, et al.	
	54.	US- 5,548,182	08-20-1996	Bunk, et al.	
	55.	US- 5,594,303	01-14-1997	Simpson, et al.	
	56.	US- 5,786,667	07-28-1998	Simpson, et al.	
	57.	US- 5,789,863	08-04-1998	Takahashi, et al.	
	58.	US- 5,814,951	09-29-1998	Smolka, et al.	
	59.	US- 5,831,386	11-03-1998	Turner, et al.	
	60.	US- 5,841,242	11-24-1998	Simpson, et al.	
	61.	US- 5,866,980	02-02-1999	Dolan, et al.	
	62.	US- 5,903,091	05-11-1999	MacLennan, et al.	
	63.	US- 5,910,710	06-08-1999	Simpson, James E.	
	64.	US- 5,923,116	07-13-1999	Mercer, et al.	
	65.	US- 5,926,079	07-20-1999	Heirie, et al.	
	66.	US- 5,949,180	09-07-1999	Walker, Dale S.	
	67.	US- 6,005,332	12-21-1999	Mercer, William Burton	
	68.	US- 6,031,333	02-29-2000	Simpson, James E.	
	69.	US- 6,084,348	07-04-2000	Love, Wayne G.	
	70.	US- 6,084,356	07-04-2000	Seki, et al.	
	71.	US- 6,137,237	10-24-2000	MacLennan, et al.	
	72.	US- 6,181,054 B1	01-30-2001	Levin, et al.	
	73.	US- 6,246,160 B1	06-12-2001	MacLennan, et al.	
	74.	US- 6,252,346 B1	06-26-2001	Turner, et al.	
	75.	US- 6,265,813 B1	07-24-2001	Knox, et al.	

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	76.	US- 6,291,936 B1	09-18-2001 ¹	MacLennan, et al.	
	77.	US- 6,310,443 B1	10-30-2001	MacLennan, et al.	
	78.	US- 6,313,587 B1	11-06-2001	MacLennan, et al.	
	79.	US- 6,326,739 B1	12-04-2001	MacLennan, et al.	
	80.	US- 6,424,099 B1	07-23-2002	Kirkpatrick, et al.	
	81.	US- 6,509,675 B2	01-21-2003	MacLennan, et al.	
	82.	US- 6,617,806 B2	09-09-2003	Kirkpatrick, et al.	
	83.	US- 6,856,092 B2	02-15-2005	Pothoven, et al.	
	84.	US- 6,949,887 B2	09-27-2005	Kirkpatrick, et al.	

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	85.	DE 195 32 780 A1	03-13-1997	Rothe, Lutz, Dr.		
	86.	EP 0 035 898 A1	03-09-1981	Mitsubishi Denki Kabushiki Kaisha		
	87.	EP 0 419 947 A2	09-12-1990	Siemens AG (DE)		
	88.	WO 97/09748 A1	03-13-1997	Rothe, Lutz, Dr.		
	89.	WO 00/16365 A1	03-23-2000	Kirkpatrick, Douglas		
	90.	WO 03/083900 A1	10-09-2003	Gervelmeyer, Rolf		

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NON PATENT LITERATURE DOCUMENTS			
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D	91.	Counter Statement Under Rule 7(3) In the Matter of : European Patent Application No. 01957305.4 (EP 1307899), In the Name of : Luxim Corporation dated 21 January, 2006 And In the Matter of: An Application Under Section 12 and 82 of the Patents Act 1977 and Articles 1 and 3 of the Protocol on Recognition by Cervasion Limited ("Counterstatement")	
D	92.	Order to Show Cause Bd. R. 202(d), of Patent Interference No. 105,393 between Patent Application No. 09/818,092 (Guthrie, junior party) and U.S. Patent 6,737,809 (Espiau, senior party) by Administrative Patent Judge S. Medley mailed on 01/24/2006 (Schedule 1 of Counterstatement)	
D	93.	Guthrie, C., Drawings Submitted with Suggestion of Interference filed November 29, 2004 in U.S. Patent Application Serial No. 09/818,092, and Cited in and Available from Order to Show Cause (OSC) in Patent Interference No. 105,393 mailed from Administrative Patent Judge S. Medley on 01/24/2006. (See Page 10 Lines 1-7 and FN4 of OSC).	
D	94.	Decision of Hon. Thomas WM. Cain, Judge of the Superior Court of California, in Robert P. Schiro v. Digital Reflection, Inc., dated 11 May, 2004, (Schedule 2 of Counterstatement)	
D	95.	Mr. Turner's 31 st March, 2000 Memorandum and Agreement Referred to in Paragraph 19 of the Statement of Case, Copy of DRI-00-01 and a copy of a BNDA (Schedule 3 of Counterstatement)	

Examiner Signature	David Vu	Date Considered	6/6/06
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D	96.	Fusion's International Patent Application WO 99/36940 published 22 July, 1999 (Schedule 4 of Counterstatement)	
↑	97.	DRI's Schematic dated 12 April, 2000 (Schedule 5 of Counterstatement)	
	98.	Mr. Turner's Notes from the 11 April, 2000 Meeting (Schedule 6 of Counterstatement)	
	99.	Mr. Turner's 14 April, 2000 Memorandum and its Attachments including the Second Statement of Work(DRI-00-02), (Schedule 7 of Counterstatement)	
	100.	DRI's 20 April 2000 Presentation Materials "Dielectric Resonator Concept for Plasma Lamps" (Schedule 8 of Counterstatement)	
	101.	Excerpt from Professor Joshi's Notebook dated 20 April, 2000, (Schedule 9 of Counterstatement)	
	102.	Mr. Turner's 12 May, 2000 Plasma Lamp Development Plan Memorandum, Email and other Attachments thereto, (Schedule 10 of Counterstatement)	
↓	103.	Mr. Turner's 27 October, 2000 Email, (Schedule 11 of Counterstatement)	
D	104.	Mr. Turner's 3 November, 2000 Letter, (Schedule 12 of Counterstatement)	

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02	105.	Mr. Turner's 22 May, 2001 Letter, (Schedule 13 of Counterstatement)	
2	106.	Declaration of Patent Interference No. 105,393 between Patent Application Ser. No. 09/818,092 (Guthrie, junior party) and U.S. Patent 6,737,809 (Espiau, senior party) by Administrative Patent Judge S. Medley mailed on 01/24/2006	

UNPUBLISHED PATENT APPLICATIONS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
2	107.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/084,069 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	108.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,552 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	109.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,557 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	110.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,558 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	

Examiner Signature	David Vu	Date Considered	6/6/06
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known		
				Application Number	10/771,788	
				Filing Date	February 4, 2004	
				First Named Inventor	Frederick Espiau	
				Art Unit	2828	
Examiner Name	Vu, David Hung					
Sheet	8	Of	8	Attorney Docket Number	PLASLAMP-03	

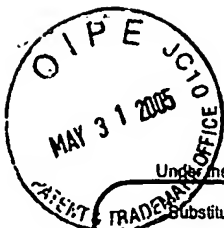
UNPUBLISHED PATENT APPLICATIONS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
2	111.	ESPIAU, FREDERICK M., ET AL., U.S. Patent Application No. 11/083,559 "Plasma Lamp with Dielectric Waveguide" filed on March 18, 2005	
2	112.	KIRKPATRICK, DOUGLAS A., U.S. Provisional Application No. 60/133,885 "High-Brightness Microwave Lamp" filed on May 12, 1999	
2	113.	KIRKPATRICK, DOUGLAS A., U.S. Provisional Application No. 60/177,271 "High Brightness Microwave Lamp" filed on January 21, 2000	

Examiner Signature	David Vn	Date Considered	6/6/06
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 2

Complete if Known

Application Number	10/771,788
Filing Date	02/04/2004
First Named Inventor	Frederick M. Espiau
Art Unit	2828
Examiner Name	VU, David H.
Attorney Docket Number	PLASLAMP-03

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 60/192,731	03/27/2000	E. Sandberg et al.	None
		US- 60/224,059	08/09/2000	G. Prior et al.	None
		US- 60/224,257	08/10/2000	D. Wilson et al.	None
		US- 60/224,289	08/10/2000	D. Wilson et al.	None
		US- 60/224,290	08/10/2000	D. Wilson et al.	None
		US- 60/224,291	08/10/2000	D. Wilson et al.	None
		US- 60/224,298	08/10/2000	G. Prior et al.	None
		US- 60/224,866	08/11/2000	D. Wilson et al.	None
		US- 60/234,415	09/21/2000	G. Prior et al.	None
		US- 2001/0035720 A1	11/01/2001	C. Guthrie et al.	Figs. 3, 4B, 5
		US-			col.5, lines 4-61;
		US-			col.6, lines 1-13;
		US-			col.6, lines 53-61
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	David un	Date Considered	6/6/06
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5/31/05

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Complete if Known

Application Number	10/771,788
Filing Date	02/04/2004
First Named Inventor	Frederick M. Espiau
Art Unit	2828
Examiner Name	VU, David H.
Attorney Docket Number	PLASLAMP-03

Sheet	2	of	2
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Signature	David W.	Date Considered	6/6/06
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		Application Number	10/771,788		
		Filing Date	02/04/2005		
		First Named Inventor	Frederick M. Espiau		
		Art Unit	2828		
Examiner Name	VU, David H.				
Sheet	1	of	1	Attorney Docket Number	PLASLAMP-03

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Q		PISANO, N.A., Amendment and Request for Interference(12pp) and Appendix A(9pp) submitted in US Pat. Applcn. Ser. No. 09/818,092 to Guthrie et al., to USPTO on 11/29/2004.	
		PRIOR, G.A. Declaration(6pp) submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		SANDBERG, E., Declaration submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		TURNER, D., Letter to G.A. Prior(3pp) dated 03/31/2000, submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		TURNER, D., Engineering Services Agreement(3pp); Statement of Work (1p) dated 03/31/2000, submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
Q		TURNER, D., electronic mail message to Wayne Catlett(2pp) sent on 06/26/2000, submitted in US Pat. Applcn. Ser. No. 09/818,092 Guthrie et al.	
		The six documents cited above were available via USPTO Public PAIR on or after 11/29/2004.	

Examiner Signature	David W	Date Considered	6/6/06
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for ~~Form 1041~~ 449/PTO

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/771.788
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Filing Date	02-04-2004
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First Named Inventor	Frédéric M. Espiau
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Art Unit	2821
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Examiner Name	TBD
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Attorney Docket Number	PLASLAMP-03
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

David van

Date
Considered

6/6/06

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